



Introductory Comments

CERTIFICATE OF MAILING

I hereby certify that this correspondence is, on the date below, being deposited with the U.S. Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Date: 6 April 2004

Ingrid C. Mallory

Ingrid C. Mallory

Fee
Only

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ling CHEN et al.

Attorneys Docket: AM-5209.D2

Serial No.: 10/693,775

Art Unit No.: 2813

Filed: October 24, 2003

Examiner: unknown

For: "TANTALUM BARRIER LAYER FOR COPPER METALLIZATION"

Commissioner for Patents
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT UNDER 37 CFR §1.115

Sir:

In Preliminary Amendment for entry before initial examination, please amend the above application as follows:

04/22/2004 MBERKE 00000026 10693775
01 FC:1202 450.00 OP
02 FC:1201 430.00 OP

187.11

3600.S209-D2
04/22/2004 MBERKE 00000026 10693775
01 FC:1202 450.00 OP

-1-

Adjustment date: 05/10/2004 TBELLI

04/22/2004 MBERKE 00000026 10693775

01 FC:1202

-450.00 OP

27.92
35

10693775

PATENT APPLICATION FEE DETERMINATION RECORD
Effective October 1, 2003

Application or Docket Number

AM-5209.D2

CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	16	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	16 minus 20 =	* ~
INDEPENDENT CLAIMS	3 minus 3 =	* ~
MULTIPLE DEPENDENT CLAIM PRESENT		<input type="checkbox"/>

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* 41	Minus	** 20 = 21
Independent	* 8	Minus	*** 3 = 5
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY TYPE		OTHER THAN SMALL ENTITY	
RATE	Fee	RATE	Fee
BASIC FEE	385.00	OR BASIC FEE	770.00
X\$ 9=		OR X\$18=	
X43=		OR X86=	
+145=		OR +290=	
TOTAL		OR TOTAL	770

OTHER THAN SMALL ENTITY	
SMALL ENTITY	OR
RATE	ADDITIONAL FEE
X\$ 9=	OR X\$18= 378
X43=	OR X86= 430
+145=	OR +290=
TOTAL ADDIT. FEE	OR TOTAL 808

OTHER THAN SMALL ENTITY	
SMALL ENTITY	OR
RATE	ADDITIONAL FEE
X\$ 9=	OR X\$18=
X43=	OR X86=
+145=	OR +290=
TOTAL ADDIT. FEE	OR TOTAL

OTHER THAN SMALL ENTITY	
SMALL ENTITY	OR
RATE	ADDITIONAL FEE
X\$ 9=	OR X\$18=
X43=	OR X86=
+145=	OR +290=
TOTAL ADDIT. FEE	OR TOTAL

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* 41	Minus	** 41 = ~
Independent	* 8	Minus	*** 8 = ~
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* Minus	** =	
Independent	* Minus	*** =	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

- * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.